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APPL NO.

FILING OR 371 (c) DATE

ART UNIT FIL FEE REC'D

ATTY.DOCKET NO

DRAWINGS TOT CLMS

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10/069,431 07/30/2002

1614

14538A-004610US

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20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER **EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834**

CONFIRMATION NO. 3708 FILING RECEIPT

OC000000012294232

Date Mailed: 04/07/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

David M. Hockenbery David M. Hockenberry, Seattle, WA; Julian A. Simon, Seattle, WA: Shie-Pon Tzung, Issaquah, WA;

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US00/22891 08/18/2000

Foreign Applications

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Compositions and methods for modulating apoptosis in cells over-expressing bcl-2 family member proteins

514

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TRANSMIT AE . TR	Filing Date	July 30, 2002
1/ 10k - 1201/141	First Named Inventor	Hockenbery, David M.
(to be used for all correspondence after initial filing)	Art Unit	1645
CATEMA S. TH. ST.	Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission 1	Attorney Docket Number	14538A-004610US
ENCLOSURES (Check all that apply)		
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s)	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation	to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter
Extension of Time Request	Change of Correspondence Addre Terminal Disclaimer	Other Enclosure(s) (please identify below):
Express Abandonment Request Information Disclosure Statement	Request for Refund CD, Number of CD(s)	Request for Corrected Filing Receipt; Copy of Filing Receipt; Return Postcard
Certified Copy of Priority Document(s) Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.		
Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Townsend and Townsend	and Crew LLP	
Individual name Brian W. Poor Reg. No. 32,928		
Signature Rian W. 1000		
Date 22 June 2004		
CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Typed or printed name Jennifer M. Smolen		
Signature Date 6/22/17		

Application Number

10/069,431

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Commissioner of Patents, Office of Initial Patent Examination, Customer Service Center, P.O. Box 1450, Alexandria, A 22313-1450 on

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PATENT Attorney Docket No. 14538A-004610US

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

David M. Hockenbery et al.

Application No.: 10/069,431

Filed: July 30, 2002

For: COMPOSITIONS AND METHODS FOR MODULATING APOPTOSIS IN CELLS OVER-EXPRESSING

Bcl-2 FAMILY MEMBER

PROTEINS

Examiner: Not Yet Assigned

Art Unit: 1614

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner of Patents Office of Initial Patent Examination Customer Service Center P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

Please note that there is an error in the Applicant(s) information section. The first inventor's name was incorrectly spelled and should read as follows:

David M. Hockenbery, Seattle, WA

No fee is believed due. However, if deemed necessary please charge any

Page 2

necessary fees or credit any overpayments to Deposit Account No. 20-1430.

Respectfully submitted,

Dated:

Brian W. Poor Reg. No. 32,928

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834 Tel: (206) 467-9600; Fax: (415) 576-0300

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